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Shadow Zone Boundary Limitation of the Effective Acoustical Turbulence Scattering Volume Using the Turbule Ensemble Model

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Abstract

The Turbule Ensemble Model (TEM) was developed to handle acoustical scattering from anisotropic inhomogeneous turbulence. A turbule is a localized atmospheric inhomogeneity. TEM, then, represents a turbulent region by a collection of turbules of different sizes. Since acoustic sources and sensors are omnidirectional, the scattering volume of the TEM region is ill defined. Scattering properties of individual turbules in TEM show that the majority of scattering originates from a constricted volume, called the effective scattering volume. This is true for a region of homogeneous turbulence and is anticipated to be true for a region of inhomogeneous turbulence, although no calculations have been made. Estimates have been given of the size and shape of the effective scattering volume as a function of turbule size for an experiment conducted in homogeneous turbulence and a uniform atmosphere. In this report, homogeneous turbulence is retained, but an upwardly refracting atmosphere is assumed, resulting in a shadow zone. Inclusion of the shadow zone boundary further limits turbule sizes and locations from which significant signals reach the detector. The finding is that large turbules are less effective scatterers and that effective scattering volumes are large enough for the number of small turbules to be large. Implications of this finding are discussed.

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1. Introduction

Six papers were presented at the Battlefield Atmospherics Conferences and other conferences that deal with acoustic scattering by atmospheric turbulence. The sixth paper (Auvermann and Goedecke, 1995a) contains a summary of the first five papers; this report is an extension of that paper and the fifth paper in the series (Auvermann et al, 1994). Here we refer to the fifth paper in that series (Auvermann et al, 1994) as paper A and the sixth paper (Auvermann and Goedecke, 1995a) as paper B. Experimental evidence shows that atmospheric turbulence near the ground is neither homogeneous nor isotropic, two conditions required for the usual statistical model of turbulence to be valid. An alternate model, termed the Turbule Ensemble Model (TEM), consists of a collection of turbules of different sizes. A turbule is an isolated inhomogeneity of either temperature or velocity. In TEM, the scattering pattern of individual turbules is assumed known. The analysis proceeds by the assumption of a distribution function for the sizes, and then location of the turbules of each size, randomly, within the atmospheric region of interest. The shadow zone signal is then the summation of the contributions from each turbule.

Acoustical signals of interest to the Army are, in general, low frequency. The importance of this is that wavelengths are large compared to the dimensions of either source or detector. Therefore, both source and detector are nearly omnidirectional and thus cannot serve to define a scattering volume. Paper A (Auvermann et al, 1994) addressed the problem of determining the volume from which significant scattering can occur (called the effective scattering volume (ESV)) for an elementary spherical shell volume. Paper B (Auvermann and Goedecke, 1995a) addresses the determination of ESV for a homogeneous turbulence region isolated from the ground. In this report, a shadow zone boundary (SZB) is introduced into the scenario, with the remaining properties of the medium assumed to be homogeneous. The full power of TEM for addressing scattering from anisotropic inhomogeneous turbulence has not been exploited as yet. The signal from anisotropic inhomogeneous turbulence may be calculated by populating the ESV with randomly oriented and located turbules and summing the scattered power. Presently, the summation process is carried out with the use of integrals over mathematically defined distributions. A distinction must be made between isotropic turbulence and isotropic scattering. Isotropic turbulence, in the context of TEM, refers to an ensemble of turbules that has uniformly distributed orientation vectors. Such an ensemble has anisotropic scattering properties that delimit ESV as a function of turbule size. Only velocity turbulence will be considered in this report.

2. Background

In this section, the results obtained in papers A and B are recounted. Paper A was the first step in the process of using the scattering properties of turbules to define ESV. The calculation there was simply of the total scattering cross section of the turbules in a spherical shell surrounding the detector. The source was assumed to be a long distance away, so that the incident signal was a plane wave. The turbulence had parameters appropriate for a 10-m height above the ground. However, in the simplified calculation, the source and detector were assumed to be far enough above ground as to be in a free atmosphere. Sound speed gradients were assumed to be zero, so that no shadow zones were present. The largest turbule considered had a 10-m radius, which was appropriate for the 10-m height of the scenario. The frequency and wavelength were 500 Hz and 0.688 m, respectively. The scattering pattern of the large turbules was found to greatly limit the region of space from which the scattered signal could reach the detector. In spite of this small region of space, the scattering properties of these large turbules dominated the scattering cross section. This scenario (a plane wave from the source in a medium of infinite size) cannot be extended to calculate the signal from an infinite space, because the scattered signal at the detector would be infinite. Although the signal from more distant spherical shells falls off as $1/r^2$, the total volume of the shell increases by r^2 , leaving the signal from each shell the same. When the total is computed for an increasing distance r , the total increases without bound.

In paper B, the distant source scenario was abandoned in favor of an experimental scenario, with the source and detector finitely separated. The $1/r^2$ loss from source to scatterer, coupled with the $1/r^2$ loss from scatterer to detector, served to produce a finite signal at the detector. ESV was found to vary from 4.4 m³ for the largest turbule to 2311 m³ for a turbule of 0.44 m radius. Figure 1 (taken from paper B) shows the intersection locus of the scattering volume limit with the vertical plane containing the source and detector for three different turbule sizes.

Figure 2 depicts the scenario of this report. The coordinates are x , y , and z , with the origin on the ground beneath the source. The source and detector heights are h_S and h_D , respectively. The wind flows from the direction of the detector toward the source. The simplest wind speed profile will be used to produce a shadow zone, namely, a uniform gradient model. The plan—the execution of which is discussed in section 5—is to introduce a wind-driven shadow zone boundary into the scenario of figure 2 and then calculate the ESV in a manner similar to the calculation in paper B. A reasonable wind speed gradient will show an appreciably sized shadow zone over the experiment distance chosen (320 m). Two matters not addressed in paper B must be dealt with first: (1) calculating parameters (turbule spacing and

Figure 1. Scattering volume shape with no shadow zone.

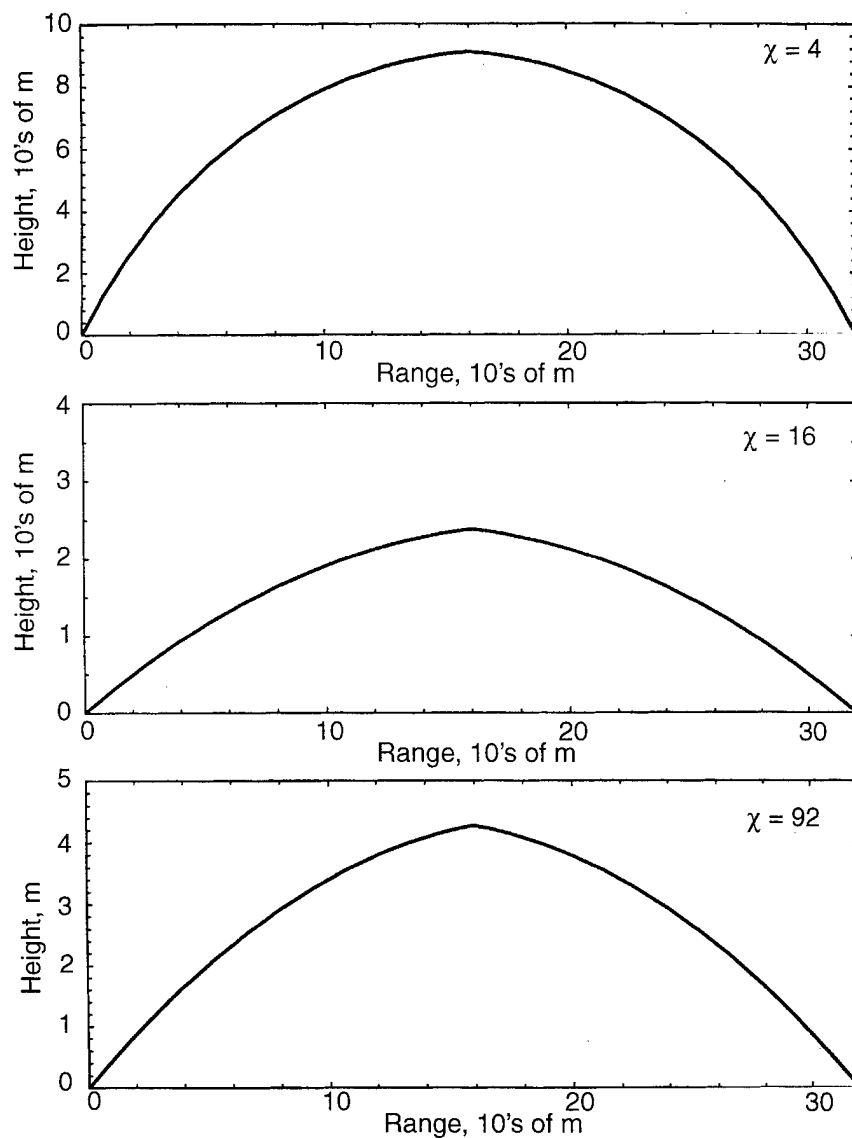
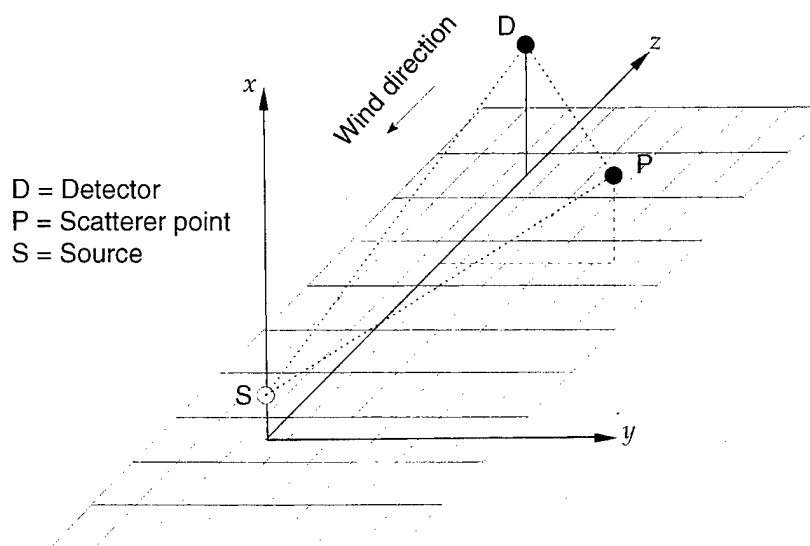


Figure 2. Calculation geometry.



size increment) for a representative turbulence distribution and (2) developing an equation for the SZB surface. These matters are discussed in the next two sections.

Symbols for some of the variables and parameters used in this report are summarized in the following list:

- a = turbule characteristic size (m)
- c = sound speed, $3.44 \text{ m}\cdot\text{s}^{-1}$
- E_D^v = total irradiance at detector
- e_D^v = relative irradiance at the detector
- f = acoustic wave frequency = 500 Hz
- θ = spherical coordinate system polar angle
- ϕ = spherical coordinate system azimuthal angle
- k = $2\pi/\lambda$
- l = ratio of turbule average spacing to turbule characteristic size a
- μ = turbule size ratio increment parameter
- λ = wavelength, $m = 0.688 \text{ m}$
- N_1 = total number of turbules of the largest size
- r = spherical coordinate system radial distance
- R_S = source irradiance reference distance = 1.0 m
- R_{SD} = source detector distance = 320 m
- R_{SP} = source (point P) distance
- R_{PD} = (point P) detector distance
- $\sigma_v(\psi, \chi)$ = velocity turbule total scattering cross section
- v_{a0} = turbule flow velocity parameter
- $v_a(r, \theta)$ = turbule velocity function
- V_s = scattering volume
- χ = size parameter = ka
- X_u = upper limit of size parameter considered = 91.325367
- X_m = maximum in the size parameter integration
- X_n = minimum in the size parameter integration
- ψ = scattering angle
- Ω = magnitude of the angular velocity vector

3. Turbule Spacing and Size Increment Parameters

The turbule spacing and size increment parameters are the l and μ , respectively, from the list of parameters in section 2. The size increment parameter will be determined by energy conservation considerations for a turbule velocity distribution of (Goedecke, 1992)

$$\begin{aligned}\vec{v}(\vec{r}) &= \Omega \hat{z} \times \vec{r} \exp(-r^2/a^2) , \\ v_a(r, \theta) &= (v_{a0}/a) r \sin(\theta) \exp(-r^2/a^2) ,\end{aligned}\quad (1)$$

where the rotation axis has been set along the z -axis. Because of rotational symmetry, the latter expression shows the dependence on the spherical coordinates of r and θ . The total kinetic energy of the turbule $T(a)$ will be the integral of one-half the density ρ times the square of this velocity:

$$T(a) = \left(\frac{\rho v_{a0}^2}{2a^2} \right) \int_0^\infty dr r^4 \exp(-2r^2/a^2) \int_0^\pi d\theta [\sin(\theta)]^3 \int_0^{2\pi} d\phi = \left(\frac{\pi \rho \Gamma(5/2, 0, \infty)}{3 \times 2^{1/2}} \right) v_{a0}^2 a^3 , \quad (2)$$

where Γ is the Generalized Incomplete Gamma Function (Wolfram, 1991). The ratio of the energy of the largest turbule to that of the next smaller one using the former velocity relation (Auvermann, 1994) is the following:

$$\frac{T(a_1)}{T(a_2)} = \left(\frac{v_1^2 a_1^3}{v_2^2 a_2^3} \right) = \left(\frac{a_1}{a_2} \right)^{11/3} = [\exp(\mu)]^{11/3} = 2; \quad \mu = (3/11) \ln(2) = 0.1890 . \quad (3)$$

The first expression in equation (3) results if the largest turbules break up into two turbules of the next lower size and split energy equally. There are 49 size classes in this distribution, from the largest at 10 m (denoted by the subscript 1) to the smallest at 1.146 mm (denoted by the subscript 49).

Next, recall the expression for the velocity structure constant (Goedecke et al, 1998), which is

$$\begin{aligned}C_v^2 &= \left(\frac{0.69}{\mu} \right) \left(\frac{N_1 a_1^3}{V_s} \right) \left(\frac{v_1}{a_1^{1/3}} \right)^2 J_{14/3}^v(0, \infty) , \\ J_{14/3}^v(0, \infty) &= \int_0^\infty dy y^{14/3} \exp(-y^2/2) = 2^{11/6} \Gamma(17/6, 0, \infty) = 6.1455 .\end{aligned}\quad (4)$$

For fractal scaling, each turbule size class has the same l (Goedecke et al, 1998). N_1/V_s is the concentration of the largest turbules, so that the second bracket is l^{-3} . The value of v_1 that we have been using is $3.44 \text{ m} \cdot \text{s}^{-1}$. To obtain a value for the structure parameter, we resort to the literature (Brown and Clifford, 1976). The velocity structure constant expression is given as

$$C_v^2 = 0.04 + 0.33 z^{-2/3} , \quad (5)$$

where z is the height in meters. Substitution of 10 for z gives a value for the velocity structure parameter of $0.1111 \text{ m}^{4/3} \cdot \text{s}^{-2}$. Rewriting equation (4) gives the value of l :

$$l = \left(\frac{0.69 v_1^2 J_{14/3}^v(0, \infty)}{C_v^2 \mu a_1^{2/3}} \right)^{1/3} = \left(\frac{(0.69) (3.44)^2 (6.1455)}{(0.1111) (0.1890) (10)^{2/3}} \right)^{1/3} = 8.0148 . \quad (6)$$

If the centers of vortices are closer than 2.8 times the diameter of an individual vortex, the mutual distortion can be expected to cause the vortices to disintegrate (Moore and Saffman, 1975, eq (13), p 468). This number of 2.8 would translate into a value of 5.6 if the spacing were compared to the vortex radius. Thus, the value of 8 from equation (6) is reasonable, although the exact relation of the vortex diameter from Moore and Saffman's work to the turbulence characteristic size (a) of the model is not known.

4. Derivation of Shadow Zone Boundary Equation

For a wind speed of $3.44 \text{ m}\cdot\text{s}^{-1}$ at a height of 10 m and zero at the ground, the gradient $g(0)$ is 0.344 s^{-1} . A uniform gradient profile declining with increasing x produces a circular ray path (Pierce, 1989, p 385), whose radius is the length for the sound speed to extrapolate to zero or 1000 m. Negligibly small transverse curvature of ray paths is assumed, so that a ray launched in a vertical plane making an angle β with the x - z plane will remain in that plane. The sound-speed gradient is related to the component of the wind velocity in the launch plane or $g(\beta) = g(0) \cos(\beta)$. The SZB is the limit ray circle that contains the source point and is tangent to the ground. For $\beta = 0$, the equation of this circle is given in the following:

$$(x_S - r_{c0})^2 + (z - z_{c0})^2 = r_{c0}^2; \quad r_{c0} = c/g(0); \quad z_{c0} = (2 r_{c0} h_S - h_S^2)^{1/2}. \quad (7)$$

$$x_S = \left\{ r_{c0} - [r_{c0}^2 - (z - z_{c0})^2]^{1/2} \right\}.$$

The last form in equation (7) is the useful one, since the height of the SZB at a variable z will later determine a limit for an integral. For the circle in a plane at an angle β with the x - z plane, in equation (7), replace $g(0)$ with $g(\beta)$ and (z, z_{c0}) by analogous distances, say, $(\rho_\beta, \rho_{c\beta})$. Then, replace $\cos(\beta)$ and $(\rho_\beta, \rho_{c\beta})$ with the appropriate functions of the variable coordinates (y, z) :

$$(x_S - r_{c\beta})^2 + (\rho_\beta - \rho_{c\beta})^2 = r_{c\beta}^2; \quad \rho_\beta = (y^2 + z^2)^{1/2}; \quad \cos(\beta) = z/\rho_\beta.$$

$$r_{c\beta} = c/(g(0)\cos(\beta)); \quad \rho_{c\beta} = (2 r_{c\beta} h_S - h_S^2)^{1/2}.$$

$$x_S = \left\{ r_{c\beta} - [r_{c\beta}^2 - (\rho_\beta - \rho_{c\beta})^2]^{1/2} \right\}, \quad z > 0. \quad (8)$$

$$= 0, \quad z \leq 0.$$

The last expression for x_S , where z is less than or equal to 0, recognizes that propagation in the negative direction (down wind) will not experience a shadow zone. This is unimportant, except for algorithm purposes. There is also an SZB associated with the detector. Signal scattered from turbules below the detector SZB will not reach the detector. This boundary will be of the same form, except that the z coordinate will turn to $-z_D$, and then the z_D will be replaced by $(R_{SD} - z)$

$$(x_D - r_{c\alpha})^2 + (\rho_\alpha - \rho_{c\alpha})^2 = r_{c\alpha}^2; \quad \rho_\alpha = [y^2 + (R_{SD} - z)^2]^{1/2}; \quad \cos(\alpha) = (R_{SD} - z)/\rho_\alpha.$$

$$r_{c\alpha} = c/(g(0)\cos(\alpha)); \quad \rho_{c\alpha} = (2 r_{c\alpha} h_D - h_D^2)^{1/2}.$$

$$x_D = \left\{ r_{c\alpha} - [r_{c\alpha}^2 - (\rho_\alpha - \rho_{c\alpha})^2]^{1/2} \right\}, \quad 0 < z < R_{SD} \quad (9)$$

$$= 0, \quad z \geq R_{SD}.$$

The integral limit mentioned above will be determined for a y - z plane point (y, z) by choice of the larger of x_S or x_D . The larger of x_S or x_D is referred to as

SZB hereafter. Figure 3 is a three-dimensional plot of equations (8) and (9), showing the SZB surfaces. Figure 4 shows the ESV surface from paper B for a size parameter of 4.0 superimposed on these SZBs.

Figure 3. Shadow zone boundary surfaces.

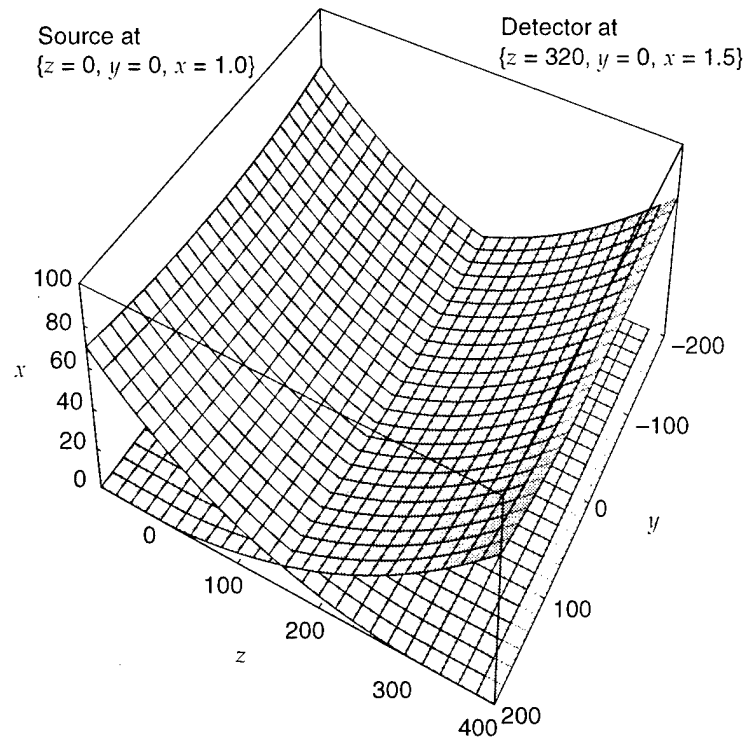
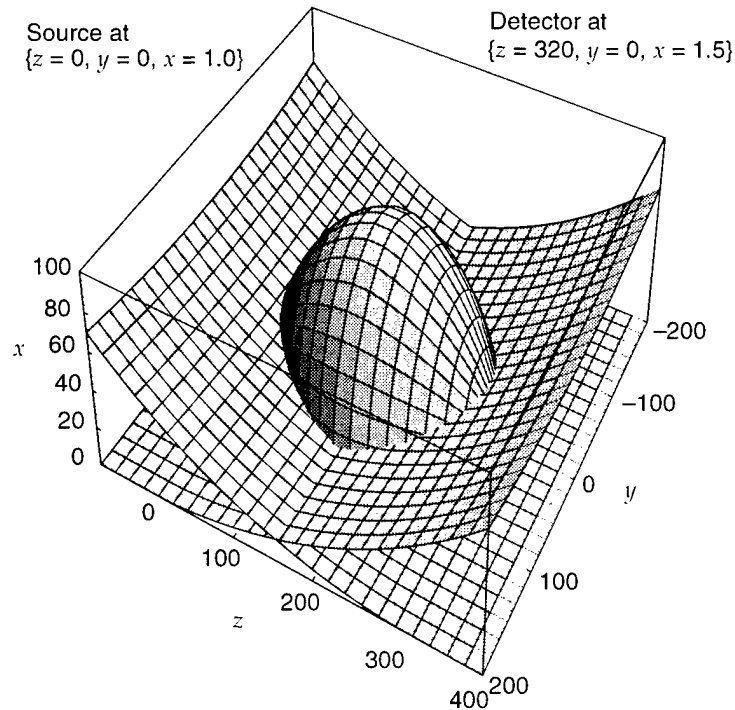


Figure 4. Effective scattering volume surface for size parameter of 4.0 superimposed on shadow zone boundaries.



5. Scattered Signal at Detector Equation

Since we make the assumption that the only influence of the wind gradient and the presence of the ground is to produce the SZB of section 4, the equation for the scattered signal at the detector will be set up in terms of the distances and angles relative to the source-detector line of figure 2. And since we also assume homogeneous, isotropic turbulence, the scattering will have rotational symmetry with respect to this line. Imposition of the SZB will produce only a rotational angle in the ϕ integration. For the velocity distribution of equation (22), the orientation averaged cross section is (Goedecke, 1992), with c_∞ as the asymptotic sound speed,

$$\sigma_v(\psi, \chi) = \left(\frac{\pi}{3}\right) \left(\frac{\Omega a \chi^4}{4 k c_\infty}\right)^2 [\sin(\psi)\cos(\psi)]^2 \exp\{-\chi^2[1 - \cos(\psi)]\} \quad (10)$$

The total signal will be the differential signal multiplied by a differential volume with a space integration. Including integration over size parameter, the final expression is in equation (8) (Auvermann et al, 1994). The differential volume is a partial ring around the source-detector line,

$$E_D^v = \left(\frac{N_1 E_S (k a_1)^{1/3} e^\mu}{24 (e^\mu - 1)}\right) \left(\frac{\pi v_1 a_1 R_S}{c_\infty}\right)^2 \int_{Z_n}^{Z_m} dz \int_0^{Y_m} dy [2 r_r \phi \cos(\gamma)] (R_{SP} R_{PD})^{-2} \\ [\sin(\psi)\cos(\psi)]^2 \int_{X_n}^{X_m} d\chi \chi^{14/3} \exp\{-\chi^2[1 - \cos(\psi)]\} \quad (11)$$

with the ring ending on the SZB on each side. By symmetry of the SZB with respect to the x - z plane, the differential volume is $2 r_r(y, z) \phi(y, z) dz dy$, where r_r is the radius of the ring and ϕ is the ring angle between the x - z plane and the SZB intersection point. In equation (11), $(r_r, \phi, R_{SP}, R_{PD}, \psi)$ are all functions of (y, z) through the SZB height h_Z . The $\cos(\gamma)$ accounts for the inclination of the source-detector line [$\tan(\gamma) = (h_D - h_S)/R_{SD}$]. E_S is the reference irradiance.

6. Imposition of Significance Criteria

Expressions for the relative signals of interest are

$$I(Z_n, Z_m, Y_m, X_n, X_m) = \int_{X_n}^{X_m} d\chi \int_{Z_n}^{Z_m} dz \int_0^{Y_m} dy [2 r_r \phi] (R_{SP} R_{PD})^{-2} |\sin(\psi) \cos(\psi)|^2 \chi^{14/3} \exp \{-\chi^2 |1 - \cos(\psi)|\} , \quad (12a)$$

$$I(-\infty, \infty, \infty, 0, X_n) = \int_{-\infty}^{\infty} dz \int_0^{\infty} dy [2 r_r \phi] (R_{SP} R_{PD})^{-2} |\sin(\psi) \cos(\psi)|^2 \int_0^{X_n} d\chi \chi^{14/3} \exp \{-\chi^2 |1 - \cos(\psi)|\} , \quad (12b)$$

$$e_D^r = \left(\frac{I(Z_n, Z_m, Y_m, X_n, X_m)}{I(-\infty, \infty, \infty, 0, X_n)} \right) = \Sigma . \quad (12c)$$

$(Z_n, Z_m, Y_m, X_n, X_m)$ are the integration limits for two conditions. The first condition is that for all space for which these limits are infinity, zero, or X_n . The objective of this report is to find limits subject to the condition that the signal is a large fraction of the infinite limit signal, equation (12b). Thus the expressions may dispense with the constant in front of the integrals in equation (11). The relative signal e_D^r is defined in terms of the integrals, and the significance criterion Σ is set equal to it. The meaning is that the limits are to be found that make e_D^r at least as great as Σ , which is thought of as being a number such as 0.99.

7. Determination of ESV

The procedure to be used is the following. The reference integral (eq 12(b)) will be calculated for the parameters specified in this report. Then, lower and upper limits for the χ integration (X_n, X_m) will be determined such that the integral will differ in each case by no more than 0.002 from the reference integral, with all other limits the same. Then the y integral will be evaluated as a function of (χ, z) to determine a table of $Y_m(\chi, z)$ values such that this integral differs no more than 0.002 from its value when the upper limit is infinity. A function that approximates this table will be determined. Finally, the integral with these limits will be calculated to show that the relative signal is greater than the criterion Σ . These criteria are summarized in table 1. Table 2 summarizes the calculated results. Table 3 has been constructed for five of the sizes of equation (3). From table 2, it is seen that the consequence of this rather simple criteria scheme was that Y_m approximated a cylinder, and the total Σ was too large. This implies that a more sophisticated scheme in which the χ dependence of the z limits is required to improve the accuracy of the results. The SZB is likely to be more like that of figure 4 than a cylinder. However, important conclusions can be drawn using the results of table 3. The SZB length l_s has been estimated from other data, and the concentration C_χ has been calculated for an l (eq (6)) of 8. Associated with the table are some numbers calculated for the second entry. This entry is the maximum contributor to the total signal. Dividing l_s by N_χ for this size gives an average spacing, as shown. Dividing the average

Table 1. Selection of significance criteria.

Goal:	$\Sigma = 0.99$
	$\Sigma(X_n) = 0.998$
	$\Sigma(X_m) = 0.998$
	$\Sigma(Z_n) = 0.998$
	$\Sigma(Z_m) = 0.998$
	$\Sigma(Y_m) = 0.998$

Table 2. Scattering volume results.

$I(-\infty, \infty, \infty, 0, X_n) = 0.456394$
$I(Z_n, Z_m, Y_m, X_n, X_m) = 0.452400$
$\Sigma = 0.9912$
$X_n = 1.3249$
$X_m = 52.4223$
$Z_n = 44.5736 \text{ m}$
$Z_m = 272.8049 \text{ m}$
$Y_m = 816.4478 (\chi)^{-1.31}$

Table 3. Scattering
volume constituents.

χ	a_χ	r_s	l_s	V_s	C_χ	N_χ
51.80	5.67	4.63	40.00	1.350×10^3	1.072×10^{-5}	1.440×10^{-2}
16.67	1.83	20.47	120.00	7.898×10^4	3.187×10^{-4}	2.517×10^1
7.83	0.86	55.12	182.78	8.723×10^5	3.071×10^{-3}	2.678×10^3
2.52	0.28	243.51	220.49	2.054×10^7	8.897×10^{-2}	1.827×10^6
1.43	0.16	511.84	228.23	9.392×10^7	4.768×10^{-1}	4.478×10^7
χ = size parameter				Dominant scatterer has		
a_χ = turbule radius, m				size parameter = 16.67		
r_s = scattering volume radius, m				Spacing in ESV = 4.767 m		
l_s = scattering volume length, m				Wind speed = $3.44 \text{ m}\cdot\text{s}^{-1}$		
V_s = scattering volume, m^3				Period = 1.4 s		
C_χ = turbule concentration, m^{-3}				Experimental period = 4 s		
N_χ = number of turbules						

spacing by the wind speed gives an estimate of the period that might be expected as turbules of this size are tracked through the ESV. This period of 1.4 s is close to the period from experimental results.* This fact is important because it suggests that a more accurate calculation is warranted. However, a more accurate calculation will need a realistic estimate of the size parameter division point between that part of the signal that can be obtained by integration (a continuum calculation) and those parts that must be addressed as discrete.

*Shadow zone data reported by Havelock, Stenson, and Daigle (1992) were examined privately by the authors to arrive at this estimate.

8. Conclusions

The ultimate utility of the work on ESV may be that it will afford a physical explanation of the shadow zone scattered signal fluctuations. The data from table 3 of section 7 lead to the belief that we can conclude that this variability is caused by the motion of a limited number of moderately sized turbules through the ESV. The next step in the investigation will require a more sophisticated method for determining the (z, y) limits of the scattering volume. Of equal, or even greater, importance will be the determination of the minimum population of the scattering volume as a function of size parameter that will result in insignificant fluctuations. This minimum population will determine the turbule size, which divides the sizes that must be summed over from the sizes that can be integrated.

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